

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------|---|---------------------------|--------------------|
| Applicant : | Dan Galai et al. | Art Unit : | 3624 |
| Serial No. : | 10/753,131 | Examiner : | Lalita M. Hamilton |
| Filed : | January 7, 2004 | Confirmation No.: | 4435 |
| | | Notice of Allowance Date: | March 19, 2007 |
| Title : | DIVERSIFICATION OF RISK FOR ARTISTS AND INVESTORS | | |

MAIL STOP ISSUE FEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed March 19, 2007, enclosed is a completed issue fee transmittal form PTOL-85b. Please note that the applicant is no longer claiming small entity status. The required issue fee and publication fee in the amount of \$1700 are being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.

COMMENTS ON REASONS FOR ALLOWANCE

The Examiner's allowance of the claims is appreciated. The Applicant submits the following comments concerning the Examiner's Reasons for Allowance cited in the Notice of Allowability. It is the subject matter of each claim as a whole that is patentable, not any particular feature recited in the claim(s). The Applicant does not concede that the reasons for allowance given by the Examiner are the only reasons that make the claims allowable and does not make any admission or concession concerning the Examiner's statement.

Applicant : Dan Galai et al.
Serial No. : 10/753,131
Filed : January 7, 2004
Page : 2 of 2

Attorney's Docket No.: 16615-002001

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/23/07



Samuel Borodach
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PART B – FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
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or Fax

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03/19/2007

FISH & RICHARDSON P.C.
P.O. Box 1022
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Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/753,131 | 01/07/2004 | Dan Galai | 16615-002001 | 4435 |

TITLE OF INVENTION: DIVERSIFICATION OF RISK FOR ARTISTS AND INVESTORS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$1400 | \$300 | \$1700 | 06/19/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------|----------|----------------|
| HAMILTON, LALITA M. | 3624 | 705-035000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a **Customer Number** is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish & Richardson P.C.

2. _____

3. _____

3. ASSIGNMENT NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

MutualArt Inc.

New York, NY USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

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- ☒ Publication Fee (No small entity discount permitted)
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- ☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-0058 (enclose an extra copy of this form).

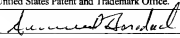
5. Change in Entity Status (from status indicated above)

☐ J.a. Applicant claims SMALL ENTITY status. See 37 CFR 1.2.7.

☒ J.b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) 

(Date) March 23, 2007

Typed or Printed Name Samuel Borodach

Registration No. 38,388

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